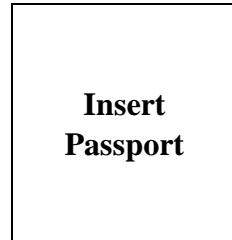


# Primary Dealer/Market Maker (PD/MM)

CM001

The Managing Director/CEO  
Central Securities Clearing System Ltd  
Stock Exchange House  
2/4, Customs Street  
Lagos  
Dear Sir,



## **ELIGIBILITY FORM FOR PRIMARY DEALERS/MARKET MAKERS PARTICIPANTS IN THE DEPOSITORY (CSCS LTD)**

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- Note: (1) The entries must be clear and comprehensible*
- (2) This form should be completed (in duplicate) by the applicant*
- (3) Two (2) recent passport photographs of the officer applying on behalf of the Company, bearing at the back, the signature of the Chief Executive Officer/CEO.*

1. Name of the company .....
2. Office Address: .....  
.....
3. Suggested Member Code: (**maximum of five characters**).....
4. Contacts:
  - (a) .....
  - (b) .....
5. Tel: .....  
  
GSM: .....  
  
Fax: .....  
  
E-Mail: .....
6. Participant's Trading Account with Central Bank of Nigeria (CBN):

(a): CBN Account No: .....

7. The following documents must accompany the form:

- (a) Certified True Copy of Memorandum and Articles of Association
- (b) Evidence of current registration with **Securities & Exchange Commission (SEC)**
- (c) Evidence of DMO approval/appointment/confirmation
- (d) ~~N~~56,000 + 7.5% VAT Eligibility fee payable to CSCS Ltd annually.

8. **Mandate Card** – to be completed by those who are authorised to give CSCS instructions on the operation of the account(s) is attached.

## DECLARATION

I, ..... of .....

..... do hereby declare as follows:

- (a) That I am (status) .....in the company herein seeking Eligibility/Registration with CSCS Ltd.
- (b) That I have the authority to make this declaration
- (c) That the information given herein and the document attached hereto are true and correct.
- (d) We/I shall abide by the Rules, Regulations and Agreements of CSCS Ltd prescribed from time to time.

Name: ..... Signature ..... Date .....

## UNDERTAKING

10. We/I undertake:-

- (a) To notify the CSCS Ltd in writing of any material change in any of the aforesaid in accordance with CSCS Rules, Regulations and Procedures
- (b) That CSCS Ltd shall not be held liable for any negligent and/or fraudulent act of a Stockbroker/Official of the Company/the individual.

.....  
**Managing Director/CEO**

.....  
**Signature**

.....  
**Date**

**Seal**

.....  
**Secretary**

.....  
**Signature**

.....  
**Date**